

JAN 12 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

LaFore, et al.

Serial No.: 09/853,986

Filed: May 11, 2001

Atty. File No.: 7103-1-CIP

For: "DATA PROCESSING SYSTEM AND
METHOD FOR MANAGING
BROKER TRANSACTION
INFORMATION"

Group Art Unit: 3628

Examiner: Oyeibisi, O.

AMENDMENT AND RESPONSE

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile
transmitted to the U.S. Patent and Trademark Office
(Fax No. 571-273-8300) on January 12, 2006.

SHERIDAN ROSS P.C.


Brent P. JohnsonMail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Applicant submits this Amendment and Response to address the Office Action having a mailing date of August 12, 2005. Enclosed herewith is a petition for a two-month extension of time, thereby extending the time period for response from November 12, 2005 to January 12, 2006. Please charge Deposit Account No. 19-1970, \$225.00 to pay for the extension of time fee. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.

Please amend the above-identified patent application as follows: